



07-29-02

28778

PATENT AMENDMENT TRANSMITTAL

(Provisions of 37 CFR 1.136 Apply)

Application Number	09/738,174	Filing Date	December 15, 2000
Group Art Unit	2877	Examiner Name	Mooney, M.
Confirmation No.	2301	Attorney Docket No.	782_141
Inventor(s)	Atsuo KONDO, Jungo KONDO and Kenji AOKI		
Invention:	TRAVELING WAVE OPTICAL MODULATORS		

Transmitted herewith is an Amendment in the above-identified application. The fee has been calculated as follows.

CLAIMS AS AMENDED

(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest Number Previously Paid	(5) No. of Extra Claims Present	(6) Rate (Large Entity)	(7) Additional Fee
TOTAL CLAIMS	10	MINUS	20	0	\$18.00	\$00.00
INDEP. CLAIMS	1	MINUS	3	0	\$84.00	\$00.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$00.00

EXTENSION OF TERM

- ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.
- ☒ This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application. The requested extension and appropriate non-small entity fee are as follows:

- ☐ One Month (37 CFR 1.17(a)(1)) \$110.00
- ☐ Two Month (37 CFR 1.17(a)(2)) \$400.00
- ☒ Three Month (37 CFR 1.17(a)(3)) \$920.00

TOTAL FEES DUE

\$920.00

- ☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount is reduced by one-half, and the resulting fee is:

FEE PAYMENT

- ☐ No additional fee is required.
- ☒ A check in the amount of \$920.00 is enclosed.
- ☐ Charge Deposit Account 50-1446 in the amount of \$_____. Enclosed is a duplicate copy of this sheet.
- ☒ Please charge any fees which may be required, or credit any overpayment, to Deposit Account 50-1446.

Submitted By:

Name (Print Type)	Kevin C. Brown	Reg. No.	32,402	Customer No.	025191
		Telephone	(315) 233-8300	Facsimile	(315) 233-8320
Signature	<i>Kevin C. Brown</i>	Date	July 26, 2002		

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EV 038330355 USDate of Deposit: July 26, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Tara L. Preston
Tara L. Preston

Practitioner's Docket No.: 782_141

BATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Atsuo KONDO, Jungo KONDO and Kenji AOKI

Ser. No.: 09/738,174

Group Art Unit: 2877

Filed: December 15, 2000

Examiner: Mooney, M.

Confirmation No.: 2301

For: TRAVELING WAVE OPTICAL MODULATORS

Assistant Commissioner for Patents
Washington, DC 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on July 26, 2002 under "EXPRESS MAIL" mailing label number EV 038330355 US.

Tara L. Preston
Tara L. Preston

AMENDMENT

Sir:

In response to the Office Action mailed February 1, 2002, please amend the above-identified application as follows:

In the Specification:

Attached hereto as Appendix A, pursuant to Rule 1.121(b)(3)(ii), is a substitute specification. Attached hereto as Appendix B, pursuant to Rule 1.121(b)(3)(iii), is a marked-up version of the specification showing the changes being made thereto. Please replace the specification with the substitute specification in Appendix A. Applicants respectfully submit that the substitute specification contains no new matter.

07/30/2002 NMOHAMM1 00000111 09738174

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920.00 OP

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